



OFFICE OF INFORMATION SERVICES

DATE: January 28, 2008

TO: All Medicare Advantage, Prescription Drug Plan, Cost, PACE, and Demonstration Organizations

FROM: Alan Constantian /s/
Director, Information Services Design and Development Group

SUBJECT: Announcement of Spring Software Release

CMS will be implementing software improvements to the enrollment and payment systems this spring to support the Medicare Modernization Act. As part of this effort, system changes have been scheduled for implementation as of April 11, 2008 affecting Plan exchanges with CMS for the May 2008 payment month, unless otherwise noted. This letter provides information regarding these changes so Plans may assess the impact on their organization and accommodate the changes described below. In general, Plans will see resultant changes in the Medicare Advantage & Part D Inquiry System (Common UI), as well as the enrollment and payment reports and data files.

The changes for the spring release are categorized as follows:

[1. Plan Low Income Subsidy \(LIS\) Notification](#)

[2. Plan Payment Report \(PPR\) Data File](#)

1. Plan Low Income Subsidy (LIS) Notification

CMS is committed to improving the flow of LIS eligibility data to Part D Plans to ensure that Sponsors charge LIS beneficiaries the correct premium, deductible, and co-payment amount for the correct effective date. As part of this effort, effective with the April 2008 release, CMS will provide to Plans a new weekly LIS Activity History Data File. The existing monthly LIS History Data File will also be modified to include a new data activity flag. Both data files will be sent to all Plans (past, present, and future) which have/had enrollment periods affected by the LIS activity.

Note: The bi-weekly LIS/Part D Premium Data File is not impacted by this systems change.

Weekly Low-Income Subsidy (LIS) Activity History Data File

The new weekly LIS Activity History Data File is designed to report LIS activity between generations of the monthly LIS History Data File. The data file will include full LIS profiles for prospective, current and previously enrolled Part D beneficiaries who have experienced any changes to their LIS status since the previous weekly LIS Activity History Data File. Such changes may be to current, prospective or past LIS status and may include, but are not limited to, one or more of the following:

- The beneficiary is assigned a new LIS period as an applicant or is newly deemed.
- An existing LIS period is terminated (assigned an end date).
- An existing LIS period is audited (removed) from the beneficiary's history.
- The beneficiary's LIS subsidy level or cost sharing level (co-pay) changes.
- The start or end date of an existing LIS period is changed.

The file will report the beneficiary's history as a result of all changes to LIS status that occurred and were processed during the week.

Note: Beneficiaries may be included in this file even where there is no obvious change to their LIS history. This could occur if CMS has processed LIS status changes that negate one another or changes that are transparent to the Plan. For example, some changes to a beneficiary's Federal Poverty level or Source Code ID updates have no Plan impact but would still impact the beneficiary's LIS status history and be included in the file.

The new weekly LIS Activity History Data File will be sent to the Plan(s) in which these beneficiaries were enrolled during some or all of the LIS activity period. The LIS activity period ranges from the start date of the earliest LIS period to the end date of the latest LIS period affected by the week's processing of added, audited and updated LIS transactions for the beneficiary. The start dates will always reflect the first day of the calendar month and the end dates will always reflect the last day of the calendar month. Thus, the file could include beneficiaries who are no longer enrolled in the Plan, but who experienced changes to their LIS status affecting the period in which they were enrolled in the Plan.

For example, a beneficiary who was deemed eligible for the LIS at one co-pay level could experience a change in LIS status that results in a change to a lower (more favorable) co-pay level. Such a change is usually retroactive, in response to new data received from the beneficiary's state of residence. This change would be reflected in the new weekly LIS Activity History Data File that is sent to the beneficiary's current Plan. The change would also be reflected in the file sent to a Plan in which the beneficiary was previously enrolled, if the beneficiary was enrolled in that Plan at the time of the effective date of the change..

Note: CMS is working toward a future software release where specific LIS changes are communicated to the affected Plan.

The standard naming conventions for the new weekly data file will be:

Gentran mailbox: P.Rxxxxxx.LISAHD.Dyymmdd.Thhmsst.pn

Connect:Direct (Mainframe): zzzzzzzz.Rxxxxxx.LISAHD.Dyymmdd.Thhmsst

Connect:Direct (Non-mainframe): [directory].Rxxxxxx.LISAHD.Dyymmdd.Thhmsst

The weekly LIS Activity History Data File will include:

- The full LIS history of the Plan's Part D beneficiaries who had LIS activity since the previous weekly LIS activity history data file.
- Part D beneficiaries who are no longer enrolled in the contract, but who had LIS changes affecting an enrollment period within the contract.
- Each beneficiary's enrollment period(s) within the Plan.
- Each Part D beneficiary's LIS period start and end dates, co-pay level, low-income premium subsidy (LIPS) percentage, and source of subsidy.
- Part D beneficiaries who lose their LIS status as a result of the removal of all active LIS periods.

Note: Beneficiaries who lose their LIS status as a result of the removal of all active LIS periods will be identified on the weekly LIS Activity History Data File by means of a detail data record that displays blanks in all positions except the premium subsidy percentage and co-payment level, which will display zeroes. This information will not be reflected in the monthly LIS History Data File. This information will be added to the LIS History Data File as part of a future CMS software release.

One of the fields that will be displayed on the weekly LIS Activity History Data File is the **Enrollee Type Flag** (field 11, located in position 102). The valid values for this field are as follows:

- 'C' = current enrollee – Part D beneficiaries enrolled in the contract as of the report generation date.
- 'P' = prospective enrollee – Part D beneficiaries whose enrollment effective start date is later than the report generation date.
- 'Y' = previous enrollee – Part D beneficiaries who are no longer enrolled in a particular contract, but whose prior Part D enrollment with that contract was touched in some part by the LIS activity.

Note: These values are valid as of the report generation date (e.g. a Plan that is "prospective" in one month can be "current" in the following month).

Refer to *Attachment A: Low Income Subsidy (LIS) Activity History Data File (Weekly)* for a complete file layout and file structure description.

Note: The file structure description depicts the ordered sequence of records. Each beneficiary has one or more beneficiary enrollment period records and one or more low-income subsidy (LIS) detail records. Each beneficiary enrollment period record represents one enrollment with the Plan; two or more records indicate non-contiguous enrollment. Each LIS detail record describes one beneficiary LIS period. These periods may or may not be

contiguous and may or may not have different premium subsidy and co-pay levels and different sources of subsidy.

LIS change detail records denoted in the file structure description have not yet been scheduled for implementation and will not appear in the weekly LIS Activity History Data File at this time. CMS is providing information about these detail records now so Plans may plan to accommodate this future functionality.

Monthly Low-Income Subsidy (LIS) History Data File

The existing monthly LIS History Data File (LISHIST) will be updated to include a Data Activity Flag in field 16, which was previously identified as filler. The new Data Activity Flag will identify those beneficiaries who experienced an activity affecting their LIS information since the previous month's LIS History Data File. The Data Activity Flag will be reported at the beneficiary level. If the beneficiary had activity affecting any of their LIS periods, the flag will be set to 'Y'. It does not identify the specific LIS period that was affected.

Valid values for the Data Activity Flag are:

- 'N' = No change in reported data since last month-end data file
- 'Y' = One of the following may have changed since the last month-end data file:
 - Co-payment level
 - Low-income premium subsidy level
 - Low-income period start or end date

Occasionally, Plans may see a beneficiary with a Data Activity Flag = 'Y' but will see no change to the beneficiary's LIS History. This may occur when changes with no Plan impact are applied to the beneficiary's enrollment record.

An updated layout is provided as *Attachment B: Updated Low Income Subsidy (LIS) History Data File (Monthly)*.

Note: Part D beneficiaries who lose their LIS status as a result of the removal of all active LIS periods are not currently reflected on the monthly LIS History Data File. This information will be added to the data file as part of a future CMS software release.

2. Plan Payment Report (PPR) Data File

Beginning with the May 2008 payment cycle, CMS will now include a Plan Payment Report (PPR) Data File as part of the standard monthly reports package.

This new detail data file is the counterpart to both the existing Plan Payment Report (PPR), also known as the Automated Plan Payment System (APPS) Payment Letter, and the Interim Plan Payment Report, also known as the APPS Interim Payment Letter. The Plan Payment Report (PPR) Data File will contain contract specific information on the following records:

- Prospective Payment Record
- Part A, Part B and Part D Adjustment Records
- Plan Level Adjustment Record
- CMS Adjustment Record
- Subtotal Record
- Premium Settlement Record
- Total Payment Record

The standard naming conventions for the new Plan Payment Report (PPR) Data File will be:

Gentran mailbox: P.Rxxxxxx.PPRD.Dyymm01.Thhmsst.pn

Connect:Direct (Mainframe): zzzzzzzz.Rxxxxxx.PPRD.Dyymm01.Thhmsst

Connect:Direct (Non-Mainframe): [directory].Rxxxxxx.PPRD.Dyymm01.Thhmsst

The standard naming conventions for the new Interim Plan Payment Report Data File will be:

Gentran mailbox: P.Rxxxxxx.PPRID.Dyymmdd.Thhmsst.pn

Connect:Direct (Mainframe): zzzzzzzz.Rxxxxxx.PPRID.Dyymmdd.Thhmsst

Connect:Direct (Non-Mainframe): [directory].Rxxxxxx.PPRID.Dyymmdd.Thhmsst

These new data files will also be available for ordering through the Common UI in the monthly reports section.

The data files for the Plan Payment Report (PPR) and the Interim Plan Payment Report (IPPR) will utilize the same file layout. Refer to *Attachment C: Plan Payment Report (PPR) / Interim Plan Payment Report (IPPR) Data File* for a complete file layout. Please direct questions regarding the content of use of the PPR to your Division of Payment Operations (DPO) Representative listed in Appendix B (page B-2) of the Plan Communications User Guide (PCUG).

Please note that the data file layouts presented in this memo will be reflected in the next release of the PCUG, scheduled for publication in mid-April.

For additional information, please reference the Q&A database found on the CMS website, www.cms.hhs.gov. The MMA Help questions can be found by entering "MMAHelp" in the keyword search, or by using the drop down menu choices for Research, Statistics, Data & Systems, then CMS Information Technology, then MMA Systems Help.

Plans are encouraged to contact the MMA Help Desk for any issues encountered during the system update process. Please direct any questions or concerns to the MMA Help Desk at 1-800-927-8069 or email at mmahelp@cms.hhs.gov.

Low Income Subsidy (LIS) Activity History Data File (Weekly)

Data File Structure:

Header Record, Contract #A

NOTE: This file structure uses the variables “m” and “n” to indicate additional data sequences that follow the established pattern.

Header Record, Contract #A	Header
Beneficiary #1 enrollment period record #1 Beneficiary #1 enrollment period record #2 ... Beneficiary #1 enrollment period record #n	Beneficiary #1's periods of non-contiguous enrollment in Contract #A
Beneficiary #1 LIS detail record #1 Beneficiary #1 LIS detail record #2 ... Beneficiary #1 LIS detail record #n	Beneficiary #1's active low-income periods
<i>Beneficiary #1 LIS change detail record(s) (FUTURE USE)</i>	<i>Future use, record undefined. Does not appear in April 2008 Software Release.</i>
Beneficiary #2 enrollment period record #1 Beneficiary #2 enrollment period record #2 ... Beneficiary #2 enrollment period record #n	Beneficiary #2's periods of non-contiguous enrollment in Contract #A
Beneficiary #2 LIS detail record #1 Beneficiary #2 LIS detail record #2 ... Beneficiary #2 LIS detail record #n	Beneficiary #2's active low-income periods
<i>Beneficiary #2 LIS change detail record(s) (FUTURE USE)</i>	<i>Future use, record undefined. Does not appear in April 2008 Software Release.</i>
. . . .	Records for Beneficiaries #3 - # m-1
Beneficiary #m enrollment period record #1 Beneficiary #m enrollment period record #2 ... Beneficiary #m enrollment period record #n	Beneficiary #m's periods of non-contiguous enrollment in Contract #A
Beneficiary #m LIS detail record #1 Beneficiary #m LIS detail record #2 ... Beneficiary #m LIS detail record #n	Beneficiary #m's active low-income periods
<i>Beneficiary #m LIS change detail record(s) (FUTURE USE)</i>	<i>Future use, record undefined. Does not appear in April 2008 Software Release.</i>
Trailer Record, Contract #A	Trailer

Low Income Subsidy (LIS) Activity History Data File (Weekly)

Record Layout:

Header Record

Item #	Data Field	Length	Position	Format	Field Definition
1	Record Type	1	1	CHAR	'H' = HEADER Plan and low-income period identification
2	Contract Number	5	2-6	CHAR	The following contract designations are accommodated: 9xxxx, Exxxx, Fxxxx, Hxxxx, Rxxxx or Sxxxx, where "xxxx" is the contract's numeric designation.
3	File Creation Date	8	7-14	CHAR	Calendar date the report was created. Format: YYYYMMDD
4	Payment Month	6	15-20	CHAR	Current payment month (CPM) under which the system operated when the report was created. Format: YYYYMM
5	Filler	145	21-165	CHAR	Spaces

Total Length = 165

Detail Records

BENEFICIARY AND ENROLLMENT IDENTIFICATION: All beneficiaries whose enrollment start and/or end date(s) fall within the low-income change period. Beneficiary records repeat if there is more than one enrollment with this contract within the period of the potential change.

Item #	Data Field	Length	Position	Format	Field Definition
1	Record Type	1	1	CHAR	'B' = BENEFICIARY ENROLLMENT Beneficiary and enrollment period identification record
2	Contract Number	5	2-6	CHAR	The following contract designations are accommodated: 9xxxx, Exxxx, Fxxxx, Hxxxx, Rxxxx, or Sxxxx, where "xxxx" is the contract's numeric designation.
3	FILLER	3	7-9	CHAR	Spaces
4	HIC Number	9	10-18	CHAR	Beneficiary's Health Insurance Claim Number
5	BIC	3	19-21	CHAR	Beneficiary Identification Code
6	Surname	40	22-61	CHAR	Beneficiary's last name
7	First Name	30	62-91	CHAR	Beneficiary's first name
8	Middle Initial	1	92	CHAR	Beneficiary's middle name first initial

Low Income Subsidy (LIS) Activity History Data File (Weekly)

Item #	Data Field	Length	Position	Format	Field Definition
9	Gender	1	93	CHAR	'M' = male, 'F' = female, 'U' = unknown.
10	Date of Birth	8	94-101	CHAR	Format, YYYYMMDD
11	Enrollee Type Flag	1	102	CHAR	Designation relative to report generation date: 'C' = current enrollee, 'P' = prospective enrollee, 'Y' = previous enrollee.
12	Enrollment Period Start Date	8	103-110	CHAR	Beneficiary's contract enrollment start date. Format, YYYYMMDD
13	Enrollment Period End Date	8	111-118	CHAR	Beneficiary's contract enrollment end date. Format YYYYMMDD; otherwise, blank if no end date.
14	LIS Activity Start Date	8	119-126	CHAR	Start date for possible low-income subsidy change(s) affecting the beneficiary. Format, YYYYMMDD
15	LIS Activity End Date	8	127-134	CHAR	End date for possible low-income subsidy change(s) affecting the beneficiary. Format, YYYYMMDD
16	FILLER	31	135-165	CHAR	Spaces

ACTIVE LIS RECORDS: Records repeat as necessary to report all the beneficiary's currently active low-income periods.

Item #	Data Field	Length	Position	Format	Field Definition
1	Record Type	1	1	CHAR	'D' = LIS DETAIL Beneficiary active low-income detail record
2	Low-Income Period Start Date	8	2-9	CHAR	Active low-income period start date. Format, YYYYMMDD; otherwise, blank if prior active LIS periods were removed and no LIS data currently exists for the beneficiary.
3	Low-income Period End Date	8	10-17	CHAR	Active low-income period end date. Format, YYYYMMDD; otherwise, blank if no end date or if prior active LIS periods were removed and no LIS data currently exists for the beneficiary.

Low Income Subsidy (LIS) Activity History Data File (Weekly)

Item #	Data Field	Length	Position	Format	Field Definition
4	Premium Subsidy Percentage	3	18-20	CHAR	Part D low-income premium subsidy category: '000' = No subsidy, active LIS periods were removed and no LIS data exists, '025' = 25% subsidy level, '050' = 50% subsidy level, '075' = 75% subsidy level, '100' = 100% subsidy level
5	Co-pay Level	1	21	CHAR	Definitions of the co-payment categories: '0' = none, not low-income, active LIS periods were removed and no LIS data exists, '1' = (High), \$2/\$5, '2' = (Low), \$1/\$3, '3' = (0), no co-payment, '4' = 15%.
6	Source of Subsidy Flag	1	22	CHAR	'A' = determined eligible for LIS by the Social Security Administration or a state Medicaid agency, 'D' = deemed eligible for LIS.
7	FILLER	143	23-165	CHAR	Spaces

Total Length = 165

LIS CHANGE DETAIL RECORD: To be defined in a future software release.

Item #	Data Field	Length	Position	Format	Field Definition
	To be defined in a future software release				To be defined in a future software release.

Total Length = 165

Low Income Subsidy (LIS) Activity History Data File (Weekly)

Trailer Record

Item #	Data Field	Length	Position	Format	Field Definition
1	Record Type	1	1	CHAR	'T' = Trailer Record
2	Contract Number	5	2-6	CHAR	The following contract designations are accommodated: 9xxxx, Exxxx, Fxxxx, Hxxxx, Rxxxx, or Sxxxx, where "xxxx" is the contract's numeric designation.
3	Beneficiary Count	8	7-14	CHAR	Number of unique beneficiaries reported.
4	FILLER	151	15-165	CHAR	Spaces

Total Length = 165

Updated Low Income Subsidy (LIS) History Data File (Monthly)

NOTE: This file was updated to include a Data Activity Flag in field 16 (position 80) of the Detail Record.

Header Record

Item #	Data Field	Length	Position	Format	Field Definition
1	Record Type	1	1	CHAR	'H' = Header Record
2	MCO Contract Number	5	2-6	CHAR	Contract ID: 9xxxx, Exxxx, Fxxxx, Hxxxx, Rxxxx, or Sxxxx, where "xxxx" is the contract's numeric designation.
3	Data file Date	8	7-14	CHAR	Date this data file created YYYYMMDD
4	Current processing Month	6	15-20	CHAR	First 6 digits contain Current Payment Month YYYYMM
5	Filler	145	21-165	CHAR	SPACES

Total Length = 165

Detail Record (Transaction)

Item #	Data Field	Length	Position	Format	Field Definition
1	Record Type	1	1	CHAR	'D' = Detail Record
2	MCO Contract Number	5	2-6	CHAR	Contract ID: 9xxxx, Exxxx, Fxxxx, Hxxxx, Rxxxx, or Sxxxx, where "xxxx" is the contract's numeric designation.
3	Plan Benefit Package Number	3	7-9	CHAR	Plan Benefit Package Number
4	HIC Number	12	10-21	CHAR	Beneficiary's HIC #
5	Surname	12	22-33	CHAR	Beneficiary's Surname
6	First Name	7	34-40	CHAR	Beneficiary's First Initial
7	Middle Initial	1	41	CHAR	Beneficiary's Middle Initial
8	Sex	1	42	CHAR	M = Male, F = Female
9	Date of Birth	8	43-50	CHAR	Date of Birth YYYYMMDD

Updated Low Income Subsidy (LIS) History Data File (Monthly)

Item #	Data Field	Length	Position	Format	Field Definition
10	Low Income Period Start Date	8	51-58	CHAR	Start of beneficiary's Low Income Period YYYYMMDD
11	Low Income Period End Date	8	59-66	CHAR	End of beneficiary's Low Income Period YYYYMMDD
12	Low Income Premium Subsidy Percentage	3	67-69	CHAR	Beneficiary's Low Income Premium Subsidy Percentage '100' = 100% Premium subsidy '075' = 75% Premium subsidy '050' = 50% Premium subsidy '025' = 25% Premium subsidy
13	Premium Low Income Subsidy Amount	8	70-77	CHAR	Premium Low Income Subsidy Amount – the portion of the Part D basic premium paid by the Government on behalf of a low income individual
14	Low Income Co-pay Level ID	1	78	CHAR	Co-Payment Category Definitions: '1' = High '2' = Low '3' = \$0 '4' = 15% Please note that co-pay level IDs 1 and 2 will change each year. In 2007, 1 = \$2.15/\$5.35 and 2 = \$1/\$3.10. In 2006 1 = \$2/\$5 and 2 = \$1/\$3.
15	Beneficiary Source of Subsidy Code	1	79	CHAR	Source of beneficiary subsidy. Valid values are: A = Determined Eligible for LIS by the Social Security Administration or a State Medicaid Agency D = Deemed Eligible for LIS

Updated Low Income Subsidy (LIS) History Data File (Monthly)

Item #	Data Field	Length	Position	Format	Field Definition
16	Data Activity Flag (This field has been redefined; previously filler)	1	80	CHAR	<p>'N' = No Change in reported data since last month-end data file 'Y' = One of the following may have changed since the last month-end data file</p> <ul style="list-style-type: none"> - Co-payment level - Low-income premium subsidy level - Low-income period start or end date <p>Changes that are of no interest to the Plan may affect a beneficiary's low-income information. Since these changes are not yet able to be separated from variations in which the Plan is interested, data records may be flagged as representing a change when, in fact, the data of interest to the Plan is unaffected.</p>
17	FILLER	85	81-165	CHAR	Spaces

Total Length = 165

Trailer Record

Item #	Data Field	Length	Position	Format	Field Definition
1	Record Type	1	1	CHAR	'T' = Trailer Record
2	MCO Contract Number	5	2-6	CHAR	Contract ID: 9xxxx, Exxxx, Fxxxx, Hxxxx, Rxxxx, or Sxxxx, where "xxxx" is the contract's numeric designation.
3	Totals	8	7-14	CHAR	Total number of Detail Records
4	FILLER	151	15-165	CHAR	Spaces

Total Length = 165

Plan Payment Report (PPR) / Interim Plan Payment Report (IPPR) Data File

Header Record

Item #	Data Field	Position	Length	Format	Field Definition
1	MCO Contract Number	1-5	5	Character	Contract Number for the MCO.
2	Record Identification Code	6-6	1	Character	Record type identifier: 'H' = Header Record
3	MCO Contract Name	7-66	60	Character	Name of the MCO.
4	Payment Cycle Date	67-73	7	Character	Identifies the month and year of payment. Format: MM/YYYY
5	Run Date	74-83	10	Character	Identifies date file was created. Format: MM/DD/YYYY
6	Filler	84-735	652	Character	Spaces

Total Length = 735

Prospective Payment Record

Item #	Data Field	Position	Length	Format	Field Definition
1	MCO Contract Number	1-5	5	Character	Contract Number for the MCO.
2	Record Identification Code	6-6	1	Character	Record type identifier: 'P' = Prospective Payment
3	Part A Payment, Total Members	7-14	8	Numeric	Number of Beneficiaries Part A payments are being made. Format: ZZZZZZZ9.
4	Part B Payment, Total Members	15-22	8	Numeric	Number of Beneficiaries Part B payments are being made. Format: ZZZZZZZ9.
5	Part D Payment, Total Members	23-30	8	Numeric	Number of Beneficiaries Part D payments are being made. Format: ZZZZZZZ9.

Plan Payment Report (PPR) / Interim Plan Payment Report (IPPR) Data File

Item #	Data Field	Position	Length	Format	Field Definition
6	Total Part A Payment Amount	31-43	13	Numeric	The total Part A calculated payment. Format: ZZZZZZZZZ9.99
7	Total Part B Payment Amount	44-56	13	Numeric	The total Part B calculated payment. Format: ZZZZZZZZZ9.99
8	Total Part D Payment Amount	57-69	13	Numeric	The total Part D calculated payment. Format: ZZZZZZZZZ9.99
9	Filler	70-735	666	Character	Spaces

Total Length = 735

Part A, Part B and Part D Adjustment Records

Item #	Data Field	Position	Length	Format	Field Definition
1	MCO Contract Number	1-5	5	Character	Contract Number for the MCO.
2	Record Identification Code	6-6	1	Character	Record type identifier: 'A' = Adjustments

Plan Payment Report (PPR) / Interim Plan Payment Report (IPPR) Data File

Item #	Data Field	Position	Length	Format	Field Definition
3	Adjustment Reason Code	7-8	2	Character	Identifies the adjustment type, valid codes: '01' = Death of beneficiary '02' = Retroactive enrollment '03' = Retroactive disenrollment '06' = Correction to Part A entitlement '07' = Retroactive hospice status '08' = Retroactive ESRD status '09' = Retroactive institutional status '10' = Retroactive Medicaid status '11' = Retroactive change to state county code '12' = Date of death correction '13' = Date of birth correction '14' = Correction to sex code '18' = Part C rate change '19' = Correction to Part B entitlement '20' = Retroactive working aged status '21' = Retroactive NHC status '22' = Disenroll due to prior ESRD '23' = Demo factor adjustment '25' = Part C risk adjustment factor change '26' = Part C risk adjustment factor change (mid-year) '27' = Retroactive change to Congestive Heart Failure (CHF) payment '31' = Retroactive change to Part D low-income status '36' = Part D rate change, including change to Low Income Premium Subsidy Rate '37' = Part D risk adjustment factor change '38' = Retroactive segment ID change '41' = Part D risk adjustment factor change (mid-year) '42' = Retroactive ESRD MSP factor change
4	Total Adjustments	9-16	8	Numeric	Total adjustments this type Format: ZZZZZZZ9.
5	Total Part A Months Adjusted	17-24	8	Numeric	Total number Part A months Format: ZZZZZZZ9.

Plan Payment Report (PPR) / Interim Plan Payment Report (IPPR) Data File

Item #	Data Field	Position	Length	Format	Field Definition
6	Total Part A Dollars Adjustment Amount	25-39	15	Numeric	Total Part A dollars amount Format: ZZZZZZZZZZZ9.99.
7	Total Part B Months Adjusted	40-47	8	Numeric	Total number Part B months Format: ZZZZZZZ9.
8	Total Part B Dollars Adjustment Amount	48-62	15	Numeric	Total Part B dollars amount Format: ZZZZZZZZZZZ9.99.
9	Total Part D Months Adjusted	63-70	8	Numeric	Total number Part D months Format: ZZZZZZZ9.
10	Total Part D Dollars Adjustment Amount	71-85	15	Numeric	Total Part D dollars amount Format: ZZZZZZZZZZZ9.99.
11	Total Adjustment Dollar Amount	86-100	15	Numeric	Total Part A, Part B, Part D Format: ZZZZZZZZZZZ9.99.
12	Filler	101-735	635	Character	Spaces

Total Length = 735

Plan Level Adjustment Record

Item #	Data Field	Position	Length	Format	Field Definition
1	MCO Contract Number	1-5	5	Character	Contract Number for the MCO.
2	Record Identification Code	6-6	1	Character	Record type identifier: 'L' = Plan Level Adjustments
3	Amount subject to education user fee	7-21	15	Numeric	Amount subject to fee. Format: SSSSSSSSSSS.99
4	Education User Fee Rate	22-29	8	Numeric	User fee rate. Format: Z9.999999
5	Part A Education User Fee Amount	30-42	13	Numeric	Part A Fee Amount. Format: SSSSSSSSS.99
6	Part B Education User Fee Amount	43-55	13	Numeric	Part B Fee Amount. Format: SSSSSSSSS.99

Plan Payment Report (PPR) / Interim Plan Payment Report (IPPR) Data File

Item #	Data Field	Position	Length	Format	Field Definition
7	Part D Education User Fee Amount	56-68	13	Numeric	Part D Fee Amount. Format: SSSSSSSSSS.99
8	Total Education User Fee Amount	69-81	13	Numeric	Total Part A, Part B, Part D fees Format: SSSSSSSSSS9.99.
9	Prospective Part D Members	82-89	8	Numeric	Prospective Part D Members Format: ZZZZZZZ9.
10	COB User Fee Rate	90-93	4	Numeric	COB User Fee Rate Format: Z.99
11	COB User Fee Part D Amount	94-106	13	Numeric	COB User Fee Amount Format: SSSSSSSSSS.99
12	Total COB User Fee Amount	107-119	13	Numeric	Total COB User Fee Amount Format: SSSSSSSSSS.99
13	MSP Base Part A Amount	120-134	15	Numeric	MSP Base Part A amount Format: SSSSSSSSSSSS.99
14	MSP Factor	135-142	8	Numeric	MSP Factor Format: Z.999999
15	MSP Part A Adjustment Amount	143-155	13	Numeric	MSP Part A Adjustment Format: SSSSSSSSSS.99
16	MSP Base Part B Amount	156-170	15	Numeric	MSP Base Part B amount Format: SSSSSSSSSSSS.99
17	MSP Factor	171-178	8	Numeric	MSP Factor Format: Z.999999
18	MSP Part B Adjustment Amount	179-191	13	Numeric	MSP Part B Adjustment Format: SSSSSSSSSS.99
19	Total MSP Adjustment Amount	192-206	15	Numeric	Total MSP Adjustment Dollars
20	Filler	207-735	529	Character	Spaces

Total Length = 735

CMS Adjustment Record

Plan Payment Report (PPR) / Interim Plan Payment Report (IPPR) Data File

Item #	Data Field	Position	Length	Format	Field Definition
1	MCO Contract Number	1-5	5	Character	Contract Number for the MCO.
2	Record Identification Code	6-6	1	Character	Record type identifier: 'C' = CMS Adjustments
3	Prior Month Appropriation Adjustment Text	7-46	40	Character	Text = "Prior Month Appropriation Adjustment"
4	Part A Prior Month Appropriation Adjustment Amount	47-59	13	Numeric	Part A Prior Month App. Amt. Format: SSSSSSSSSS.99
5	Part B Prior Month Appropriation Adjustment Amount	60-72	13	Numeric	Part B Prior Month App. Amt. Format: SSSSSSSSSS.99
6	Part D Prior Month Appropriation Adjustment Amount	73-85	13	Numeric	Part D Prior Month App. Amt. Format: SSSSSSSSSS.99
7	Total Prior Month Appropriation Adjustment Amount	86-98	13	Numeric	Total Prior Month App. Amt. Format: SSSSSSSSSS.99
8	CMS Adjustment Reason 1	99-148	50	Character	CMS Adjustment 1 reason
9	Part A CMS Adjustment Amount 1	149-161	13	Numeric	Part A CMS Adj Amount 1 Format: SSSSSSSSSS.99
10	Part B CMS Adjustment Amount 1	162-174	13	Numeric	Part B CMS Adj Amount 1 Format: SSSSSSSSSS.99
11	Part D CMS Adjustment Amount 1	175-187	13	Numeric	Part D CMS Adj Amount 1 Format: SSSSSSSSSS.99
12	Total CMS Adjustment Amount 1	188-200	13	Numeric	Total CMS Adj Amount 1 Format: SSSSSSSSSS.99
13	CMS Adjustment Reason 2	201-250	50	Character	CMS Adjustment 2 reason
14	Part A CMS Adjustment Amount 2	251-263	13	Numeric	Part A CMS Adj Amount 2 Format: SSSSSSSSSS.99
15	Part B CMS Adjustment Amount 2	264-276	13	Numeric	Part B CMS Adj Amount 2 Format: SSSSSSSSSS.99

Plan Payment Report (PPR) / Interim Plan Payment Report (IPPR) Data File

Item #	Data Field	Position	Length	Format	Field Definition
16	Part D CMS Adjustment Amount 2	277-289	13	Numeric	Part D CMS Adj Amount 2 Format: SSSSSSSSSS.99
17	Total CMS Adjustment Amount 2	290-302	13	Numeric	Total CMS Adj Amount 2 Format: SSSSSSSSSS.99
18	CMS Adjustment Reason 3	303-352	50	Character	CMS Adjustment 3 reason
19	Part A CMS Adjustment Amount 3	353-365	13	Numeric	Part A CMS Adj Amount 3 Format: SSSSSSSSSS.99
20	Part B CMS Adjustment Amount 3	366-378	13	Numeric	Part B CMS Adj Amount 3 Format: SSSSSSSSSS.99
21	Part D CMS Adjustment Amount 3	379-391	13	Numeric	Part D CMS Adj Amount 3 Format: SSSSSSSSSS.99
22	Total CMS Adjustment Amount 3	392-404	13	Numeric	Total CMS Adj Amount 3 Format: SSSSSSSSSS.99
23	CMS Adjustment Reason 4	405-454	50	Character	CMS Adjustment 4 reason
24	Part A CMS Adjustment Amount 4	455-467	13	Numeric	Part A CMS Adj Amount 4 Format: SSSSSSSSSS.99
25	Part B CMS Adjustment Amount 4	468-480	13	Numeric	Part B CMS Adj Amount 4 Format: SSSSSSSSSS.99
26	Part D CMS Adjustment Amount 4	481-493	13	Numeric	Part D CMS Adj Amount 4 Format: SSSSSSSSSS.99
27	Total CMS Adjustment Amount 5	494-506	13	Numeric	Total CMS Adj Amount 5 Format: SSSSSSSSSS.99
28	CMS Adjustment Reason 5	507-556	50	Character	CMS Adjustment 5 reason
29	Part A CMS Adjustment Amount 5	557-569	13	Numeric	Part A CMS Adj Amount 5 Format: SSSSSSSSSS.99
30	Part B CMS Adjustment Amount 5	570-582	13	Numeric	Part B CMS Adj Amount 5 Format: SSSSSSSSSS.99
31	Part D CMS Adjustment Amount 5	583-595	13	Numeric	Part D CMS Adj Amount 5 Format: SSSSSSSSSS.99

Plan Payment Report (PPR) / Interim Plan Payment Report (IPPR) Data File

Item #	Data Field	Position	Length	Format	Field Definition
32	Total CMS Adjustment Amount 5	596-608	13	Numeric	Total CMS Adj Amount 5 Format: SSSSSSSSSS.99
33	CMS LMA Adjustment Reason	609-658	50	Character	CMS Last Minute Adjustment reason
34	Part A CMS LMA Adjustment Amount	659-671	13	Numeric	Part A CMS LMA Adj Amount Format: SSSSSSSSSS.99
35	Part B CMS LMA Adjustment Amount	672-684	13	Numeric	Part B CMS LMA Adj Amount Format: SSSSSSSSSS.99
36	Part D CMS LMA Adjustment Amount	685-697	13	Numeric	Part D CMS LMA Adj Amount Format: SSSSSSSSSS.99
37	Total CMS LMA Adjustment Amount	698-710	13	Numeric	Total CMS LMA Adj Amount Format: SSSSSSSSSS.99
38	Total CMS Adjustment Amount	711-723	13	Numeric	Total CMS Adjustment Amt Format: SSSSSSSSSS.99
39	Filler	724-735	12	Character	Spaces

Total Length = 735

Plan Payment Report (PPR) / Interim Plan Payment Report (IPPR) Data File

Subtotal Record

Item #	Data Field	Position	Length	Format	Field Definition
1	MCO Contract Number	1-5	5	Character	Contract Number for the MCO.
2	Record Identification Code	6-6	1	Character	Record type identifier: 'S' = Subtotal Record
3	Part A Subtotal Amount	7-19	13	Numeric	Part A Subtotal Amount Format: ZZZZZZZZZZ.99
4	Part B Subtotal Amount	20-32	13	Numeric	Part B Subtotal Amount Format: ZZZZZZZZZZ.99
5	Part D Subtotal Amount	33-45	13	Numeric	Part D Subtotal Amount Format: ZZZZZZZZZZ.99
6	Total Part A, Part B, Part D Subtotal Amounts	46-58	13	Numeric	Total Subtotal Amount Format: ZZZZZZZZZZ.99
7	Filler	59-735	677	Numeric	Spaces

Total Length = 735

Premium Settlement Record

Item #	Data Field	Position	Length	Format	Field Definition
1	MCO Contract Number	1-5	5	Character	Contract Number for the MCO.
2	Record Identification Code	6-6	1	Character	Record type identifier: 'W' = Premium Settlement
3	Part C Premium Amount	7-19	13	Numeric	Part C Premium Amount Format: SSSSSSSSSS.99
4	Total Part C Premium Amount	20-32	13	Numeric	Total Part C Premium Format: SSSSSSSSSS.99
5	Part D Premium Amount	33-45	13	Numeric	Part D Premium Amount Format: SSSSSSSSSS.99

Plan Payment Report (PPR) / Interim Plan Payment Report (IPPR) Data File

Item #	Data Field	Position	Length	Format	Field Definition
6	Total Part D Premium Amount	46-58	13	Numeric	Total Part D Premium Format: SSSSSSSSSS.99
7	Prospective LIS Amount	59-71	13	Numeric	Prospective LIS Amount Format: SSSSSSSSSS.99
8	Total Prospective LIS Amount	72-84	13	Numeric	Total Prospective LIS Format: SSSSSSSSSS.99
9	LIS Adjustment Amount	85-97	13	Numeric	LIS Adjustment Amount Format: SSSSSSSSSS.99
10	Total LIS Adjustment Amount	98-110	13	Numeric	Total LIS Amount Format: SSSSSSSSSS.99
11	Late Enrollment Penalty Amount	111-123	13	Numeric	Late Enrollment Amount Format: SSSSSSSSSS.99
12	Total Late Enrollment Penalty Amount	124-136	13	Numeric	Total Late Enroll Penalty Amt Format: SSSSSSSSSS.99
13	Filler	137-735	599	Character	Spaces

Total Length = 735

Total Payment Record

Item #	Data Field	Position	Length	Format	Field Definition
1	MCO Contract Number	1-5	5	Character	Contract Number for the MCO.
2	Record Identification Code	6-6	1	Character	Record type identifier: 'T' = Net Total Payment
3	Total Net Part A Payment Amount	7-19	13	Numeric	Total Net Part A Format: SSSSSSSSSS.99
4	Total Net Part B Payment Amount	20-32	13	Numeric	Total Net Part B Format: SSSSSSSSSS.99
5	Total Net Part D Payment Amount	33-45	13	Numeric	Total Net Part D Format: SSSSSSSSSS.99

Plan Payment Report (PPR) / Interim Plan Payment Report (IPPR) Data File

Item #	Data Field	Position	Length	Format	Field Definition
6	Total Net Payment Amount	46-58	13	Numeric	Total Part A, Part B, Part D Format: SSSSSSSSSS.99
7	Filler	59-735	677	Numeric	Spaces

Total Length = 735